

영 업 보 고 서

(제 40 기)

2011년 04월 01일 부터

사업연도

2012년 03월 31일 까지

자본시장과 금융투자업에 관한 법률 제33조 및
금융투자회사의 영업 및 업무에 관한 규정 제2-55조 제1항에 따라
업무보고서를 금융위원회에 제출한 날부터 1년간
그 업무보고서 중 중요사항을 발췌한 공시서류(영업보고서)를
본점 및 영업점에 비치하고 인터넷 홈페이지 등을 통하여
일반인이 열람할 수 있도록 제공합니다.

2012년 03월 31일

회사명 : 메리츠종합금융증권주식회사
대표이사 : 최 알렉산더 회문 (인)
본점소재지: 서울시 영등포구 여의도동 34-10
(대표전화) 02)785-6611
(홈페이지) <http://home.imeritz.com>
작성책임자: (직책) 팀장 (성명) 안 두 환
(전화번호) 02- 6309- 4654



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가.

	34-10
	1973 2 24
	3
	308,867,377,000
가 ()	1,000
	308,867,377
	-
	http://home.meritz.com
	02)785-6611
	/ :1992.1.15 ()
	-
가	

가 ()				가 ()	
1-1-1				20090204	500
1-2-1				20100407	100
1-3-1				20090204	900
2-1-1				20090204	30
2-2-1				20100407	20
2-3-1				20090204	100
4-1-1		103	1 1 7	20090204	250
5-0-1		,		20090204	5
6-0-1		,		20090204	15

	가	20090304
		20090304
		20090304
	가	20090304
		20090304
	?	20090304
		20090304
	가 가	20090304
	가	20090304
	가	20090304
	가	20090304
	가	20090304
	가	20090304

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	가	20090304
		20090304
		20090304
	(SPC)	20090409
	3	20090518
	가	20090717
		20090717
		20091119
	(SPAC) () ,	20100518
	(SPC)	20100810
		20100813

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가.

1. : 1973 2 24	
2.	
* 1973.02.24 (2가 118	
* 1988.04.02 (34-10)	
* 2007.10.19 (25-1)	
* 2008.12.10 (34-10)	
3.	
* 1973 02 24	
* 1980 04 01	
* 1990 03 31	
* 1991 05 30	
* 1997 05 31	,
* 1998 02 01	,
* 1999 05 29	,
* 1999 11 13	,
* 2004 03 03	,
* 2005 12 01	
* 2007 05 25	
* 2010 02 26	
* 2010 05 28	
	7 , , ,
* 2011 06 10	
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	3/19 3/20 4/12

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가.

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()	()	()				()
				가	가 ()	
19730224			100,000	5,000	5,000	
19770622) (60,000	5,000	5,000	
19780711) (40,000	5,000	5,000	
19791215) (60,000	5,000	5,000	
19800111			60,000	5,000	5,000	
19800117			40,000	5,000	5,000	
19800221) (40,000	5,000	5,000	
19811124) (320,000	5,000	5,000	
19830427) (280,000	5,000	5,000	
19850627) (400,000	5,000	5,000	
19861027) (600,000	5,000	5,000	
19870330) (800,000	5,000	5,000	
19880331) (1,400,000	5,000	5,000	
19890809) (5,800,000	5,000	5,000	
19911224) (4,400,000	5,000	5,000	
19980312) (4,002,000	5,000	5,000	
19990522) (12,881,400	5,000	6,050	
19990705) (3,413,038	5,000	5,000	CB
20060110			55,500	5,000	5,000	
20060210			22,200	5,000	5,000	
20060310			50,000	5,000	5,000	
20061010			2,900	5,000	5,000	
20070312			120,600	5,000	5,000	
20070611			12,100	5,000	5,000	
20071011			206,300	5,000	5,000	
20071026) (18,359,854	5,000	8,190	
20080110			20,000	5,000	5,000	
20080307			470,100	5,000	6,550	
20080609			10,000	5,000	6,550	

3.

가. () (: ,)

()	()	()				(: ,)
				가	가 ()	
20090908			50,000	1,000	1,000	
20100405			38,687,417	1,000	1,203	1:0.719867

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					가			가	
						(%)	가		

4.

가.

(: ,)

	가			
	1,000,000,000	308,867,377	308,867,377	

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	165 2		0	0	0	0	0
	165 2		3,958,410	0	3,958,410	0	0
			3,958,410	0	3,958,410	0	0
			0	0	0	0	0
			3,958,410	0	3,958,410	0	0

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		가						1		
		가					가			

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		가							

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(A)		308,867,377	
		0	
(B)		0	
		0	
가 (C)		767,430	
		0	
(D)		0	
		0	
(E=A -B -C+D)		308,099,947	
		0	

6.

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가			
(A)			
(B)			
(=B/A × 100)			

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1.
가.
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						가	
	가	802,661,841,140	827,664,071,790	1,630,325,912,930	-3,505,665,203	463,078,589	
		33,564,309,005	37,727,772,675	71,292,081,680	8,921,444,105	3,534,681,498	
		0	0	0	0	0	
		836,226,150,145	865,391,844,465	1,701,617,994,610	5,415,778,902	3,997,760,087	
	가	0	0	0	0	0	
		0	0	0	0	0	
		0	0	0	0	0	
		0	0	0	0	0	
	가	0	0	0	0	0	
		0	0	0	0	0	
0		0	0	0	0		
0		0	0	0	0		
		836,226,150,145	865,391,844,465	1,701,617,994,610	5,415,778,902	3,997,760,087	
		58,723,555,334,608	65,563,350,858,810	124,286,906,193,418	-262,640,786,932	1,409,304,576	
		692,750,984,827	687,339,784,539	1,380,090,769,366	8,030,993,692	118,543,300	
		876,328,845,818	255,105,997,477	1,131,434,843,295	389,489,654,872	352,241,210	
		60,292,635,165,253	66,505,796,640,826	126,798,431,806,079	134,879,861,632	1,880,089,086	
		25,127,896,518,210	27,403,448,039,500	52,531,344,557,710	29,845,648,388	-75,248,113	
		301,764,000,000	291,932,750,000	593,696,750,000	20,069,645,802	-17,149,686	
		1,151,483,515,685	1,176,155,485,767	2,327,639,001,452	190,289,427,287	1,327,791,109	
		5,207,055,341,150	5,499,300,891,752	10,706,356,232,902	416,104,692,573	-101,051,404	
		31,788,199,375,045	34,370,837,167,019	66,159,036,542,064	656,309,414,050	1,134,341,906	
		2,088,047,334,257	2,249,909,660,217	4,337,956,994,474	10,334,217,778	-216,607,647	
		4,190,593,767,384	4,812,630,384,554	9,003,224,151,938	49,997,736,112	-1,722,222	
		5,720,671,238,483	5,906,657,753,145	11,627,328,991,628	203,848,980,743	-443,544,305	
		3,947,894,790,343	4,045,271,445,550	7,993,166,235,893	266,760,471,688	269,863,663	
		0	0	0	0	0	
		3,218,282,472,660	3,340,067,112,830	6,558,349,585,490	160,860,773,404	22,379,162	
		19,165,489,603,127	20,354,536,356,296	39,520,025,959,423	691,802,179,725	-369,631,349	
		6,493,284,499,944	5,604,797,505,945	12,098,082,005,889	195,936,270,354	2,702,840,793	
		50,316,288,054,488	56,417,130,671,312	106,733,418,725,800	259,209,213,049	909,213,049	
			0	0	0	0	0
			168,055,896,697,857	183,253,098,341,398	351,308,995,039,255	1,938,136,938,810	6,256,853,485
ETF	240,822,830,905	198,708,521,035	439,531,351,940	45,076,442,915	836,655,944		
	19,532,257,784,191	19,016,557,203,433	38,548,814,987,624	0	0		
	19,773,080,615,096	19,215,265,724,468	38,988,346,339,564	45,076,442,915	836,655,944		
		0	0	0	0	0	
		0	0	0	0	0	
		0	0	0	0	0	
		0	0	0	0	0	
		0	0	0	0	0	
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		0	0	0	0	0	
		0	0	0	0	0	
ELS	80,550,000	20,951,850,000	21,032,400,000	-4,712,503,653	1,106,100,686		
	3,159,632,660,980	3,166,169,292,400	6,325,801,953,380	0	0		
	14,096,000,000	48,660,000,000	62,656,000,000	-218,382,963,946	-1,962,078,177		
	3,173,809,210,980	3,235,681,142,400	6,409,490,353,380	-223,095,467,599	-855,977,491		
		0	0	0	0	0	
		191,839,012,674,078	206,569,437,052,731	398,408,449,726,809	1,765,533,693,028	10,235,292,025	

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							가
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
	3	34,585,592,080,000	63,432,303,290,000	0	98,017,895,370,000	0	482,808,313
	5	0	0	0	0	0	0
	10	0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		34,585,592,080,000	63,432,303,290,000	0	98,017,895,370,000	0	482,808,313
		131,880,885,370,000	25,060,629,000	0	131,905,945,999,000	7,338,810	3,414,689,163
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		131,880,885,370,000	25,060,629,000	0	131,905,945,999,000	7,338,810	3,414,689,163
	KOSPI200	1,460,607,762,329,000	1,552,872,500,000	0	1,462,160,634,829,000	0	21,548,241,524
	KOSTAR	0	0	0	0	0	0
		0	0	0	0	0	0
		1,460,607,762,329,000	1,552,872,500,000	0	1,462,160,634,829,000	0	21,548,241,524
		0	0	0	0	0	0
		0	0	0	0	0	0
		1,627,074,239,779,000	65,010,236,419,000	0	1,692,084,476,198,000	7,338,810	25,445,739,000
		0	0	0	0	0	0
		1,627,074,239,779,000	65,010,236,419,000	0	1,692,084,476,198,000	7,338,810	25,445,739,000
		0	0	0	0	0	0
		1,192,437,659,297	0	0	1,192,437,659,297	13,312,260	4,249,683
		151,185,816,116	0	0	151,185,816,116	0	0
		0	0	0	0	0	0
		1,343,623,475,413	0	0	1,343,623,475,413	13,312,260	4,249,683
		1,573,274,730,293	0	0	1,573,274,730,293	0	0
	가	0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		1,573,274,730,293	0	0	1,573,274,730,293	0	0
		0	0	0	0	0	0
		2,916,898,205,706	0	0	2,916,898,205,706	13,312,260	4,249,683
		1,629,991,137,984,700	65,010,236,419,000	0	1,695,001,374,403,700	20,651,070	4,249,683
		21,650,752,834,000	66,355,000	0	21,650,819,189,000	116,880,000	139,994,000
		0	0	0	0	0	0
		21,650,752,834,000	66,355,000	0	21,650,819,189,000	116,880,000	139,994,000
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		21,650,752,834,000	66,355,000	0	21,650,819,189,000	116,880,000	139,994,000
	가	0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		21,650,752,834,000	66,355,000	0	21,650,819,189,000	116,880,000	139,994,000
		0	0	0	0	0	0
		0	0	0	0	0	0
		0	0	0	0	0	0
		1,651,641,890,818,700	65,010,302,774,000	0	1,716,652,193,592,700	137,531,070	144,243,683

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								가
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		948,172,603,400	0	0	948,172,603,400	3,526,940,000	6,184,440,000	-5,238,179,993
		0	0	0	0	0	0	0
		948,172,603,400	0	0	948,172,603,400	3,526,940,000	6,184,440,000	-5,238,179,993
		0	0	0	0	0	0	0
		9,118,406,180	0	0	9,118,406,180	1,815,837,300	4,319,172,152	-2,819,161,560
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		9,118,406,180	0	0	9,118,406,180	1,815,837,300	4,319,172,152	-2,819,161,560
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		0	29,005,200,000.00	0	29,005,200,000.00	17,640,594,638	13,388,851,015	2,754,096,626
		0	0	0	0	0	0	0
		0	0	0	0	0	0	0
		0	29,005,200,000.00	0	29,005,200,000.00	17,640,594,638	13,388,851,015	2,754,096,626
		0	0	0	0	0	0	0
		957,291,009,580	29,005,200,000.00	0	29,962,491,009,580	22,983,371,938	23,892,463,167	-5,303,244,927

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	가	11,216,912,266,748	11,614,800,742,309	22,831,713,009,057	41,009,366,102	
		3,883,500,859,016	3,893,323,874,313	7,776,824,733,329	17,653,261,718	
		286,985,310	186,934,735	473,920,045	1,523,369	
		15,100,700,111,074	15,508,311,551,357	30,609,011,662,431	58,664,151,189	
	가	3,784,953,978	1,206,415,888	4,991,369,866	6,931,580	
		0	0	0	0	
		0	0	0	0	
		3,784,953,978	1,206,415,888	4,991,369,866	6,931,580	
	가	0	0	0	0	
		0	0	0	0	
		0	0	0	0	
		0	0	0	0	
		15,104,485,065,052	15,509,517,967,245	30,614,003,032,297	58,671,082,769	
		25,387,974,591	32,453,084,782	57,841,059,373	83,978,585	
		0	0	0	0	
		25,387,974,591	32,453,084,782	57,841,059,373	83,978,585	
				0	0	
		0	0	0	0	
		0	0	0	0	
		0	0	0	0	
		0	0	0	0	
		25,387,974,591	32,453,084,782	57,841,059,373	83,978,585	
		10,151,308,378,985	9,747,663,183,551	19,898,971,562,536	941,146,925	
		0	0	0	0	
	ELS			0	0	
	ELW	376,690,739,750	375,860,775,700	752,551,515,450	239,331,457	
		0	0	0	0	
		376,690,739,750	375,860,775,700	752,551,515,450	239,331,457	
		0	0	0	0	
		0	0	0	0	
		25,657,872,158,378	25,665,495,011,278	51,323,367,169,656	59,935,539,736	
		24,914,472,856,541	24,614,161,598,881	49,528,634,455,422	1,265,449,911	
				0		
		24,914,472,856,541	24,614,161,598,881	49,528,634,455,422	1,265,449,911	
		695,655,205,000	702,316,478,000	1,397,971,683,000	2,974,014,031	
		0	0	0	0	
		695,655,205,000	702,316,478,000	1,397,971,683,000	2,974,014,031	
		0	0	0	0	
		0	0	0	0	
		0	0	0	0	
		695,655,205,000	702,316,478,000	1,397,971,683,000	2,974,014,031	
		0	0	0	0	
		0	0	0	0	
		0	0	0	0	
		0	0	0	0	
		25,610,128,061,541	25,316,478,076,881	50,926,606,138,422	4,239,463,942	
		51,268,000,219,919	50,981,973,088,159	102,249,973,308,078	64,175,003,678	

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							(A)	(B)	(A+B)			

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	351	273	- 78
	388	308	- 80
()	87,555,337,122	12,074,941,443	- 75,480,395,679
(가)	103,383,863,068	11,284,560,572	- 92,099,302,496

(3)

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		191,929,138	235,408,695	43,479,557
		1,525,501	2,090,638	565,137
		193,454,639	237,499,333	44,044,694
		0	0	0
		0	0	0
		0	0	0
		191,929,138	235,408,695	43,479,557
		1,525,501	2,090,638	565,137
		193,454,639	237,499,333	44,044,694

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(:)

			() ()	.					
	0	0	0	0	0	0	11 065,306 703	0	11 065,306 703
	1 009,634 740	0	0	0	0	0	0	0	1 009,634 740
	1 009,634 740	0	0	0	0	0	11 065,306 703	0	12 074,941 443
	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	0	0	0
	0	0	0	0	0	0	11 065,306 703	0	11 065,306 703
	1 009,634 740	0	0	0	0	0	0	0	1 009,634 740
	1 009,634 740	0	0	0	0	0	11 065,306 703	0	12 074,941 443

(5)

(: ,%)

1		278	17	295	95.8	8 685,118 458		8 685,118 458	71.9
1	3	9		9	29	1 380,188 245		1 380,188 245	11.4
3	5	3		3	1	1 000,000 000		1 000,000 000	8.3
5	10			0	0			0	0
10	50		1	1	0.3		1 009,634 740	1 009,634 740	8.4
50	100			0	0			0	0
100				0	0			0	0
		290	18	308	0	11,065 306,703	1 009,634 740	12,074 941,443	0

(6)

(:)

	1,006,894,074	0	1,006,894,074
	0	0	0
	8,098,761,140	0	8,098,761,140
	2,178,905,358	0	2,178,905,358
	0	0	0
		0	0
	0	0	0
	0	0	0
		0	0
	11,284,560,572	0	11,284,560,572

(7)

()

	308	11 284 560,572	
	308	11 284 560,572	

.

(1)

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()

()

(:)

()

()

(:)

()

()

(:)

2.

가.

(: ,% ,%p)

		()	()	(-)		
1.	(=A-B+C)	403,433,327,223	416,725,240,169	13,291,912,946	329	
B/S	(A)	647,334,777,385	676,918,735,573	29,583,958,188	457	
	(B)	294,119,132,679	300,345,979,242	6,226,846,563	212	
	가 .	52,604,231,065	60,054,030,853	7,449,799,788	14.16	
	. , ,	20,153,833,581	22,453,203,854	2,299,370,273	11.41	
	. 1	0	0	0	0	
	. 3	50,477,735,910	13,275,673,052	-37,202,062,858	-73.7	
	. .	8,473,435,309	8,623,525,309	150,090,000	1.77	
	. .	0	0	0	0	
	. .	0	0	0	0	
	. .	0	0	0	0	
	. 100 16	0	0	0	0	
	. .	0	0	0	0	
	. .	3,067,590,344	3,776,100,344	708,510,000	23.1	
	. 가	0	0	0	0	
	가 (C)	50,217,682,517	40,152,483,838	-10,065,198,679	-20.04	
가 .	313,762,000	226,199,000	-87,563,000	-27.91		
. .	49,903,920,517	39,926,284,838	-9,977,635,679	-19.99		
. .	0	0	0	0		
. 가	0	0	0	0		
2.	(=D+E+F)	111,909,092,451	83,368,103,624	-28,540,988,827	-25.5	
B/S	(D)	55,332,398,115	48,470,465,540	-6,861,932,575	-12.4	
	가 .	15,856,333,634	17,166,570,642	1,310,237,008	8.26	
	. .	35,647,765,224	25,255,227,031	-10,392,538,193	-29.15	
	. .	127,167,523	614,896,073	487,728,550	383.53	
	. .	3,534,406,225	4,477,103,149	942,696,924	26.67	
	. .	0	99,792,797	99,792,797	0	
	. .	166,725,509	856,875,848	690,150,339	413.94	
	(E)	38,144,694,336	16,465,638,084	-21,679,056,252	-56.83	
	(F=max(가 ,)+ +)	18,432,000,000	18,432,000,000	0	0	
	가 . 10%	19,200,000,000	19,200,000,000	0	0	
	. .	11,201,330,823	13,522,872,804	2,321,541,981	20.73	
	. 가	-768,000,000	-768,000,000	0	0	
	. .	0	0	0	0	
	3.	(=(1/2)× 100)	361	500	139	38.5
	4.	(1-2)	291,524,234,772	333,357,136,545	41,832,901,773	14.35

■

1.

		7,331,899,507,440
.		513,065,863,118
1.		345,667,083,138
1)		129,363
2)		20,000,000,000
3)		7,876,013,349
4)		286,358,832
5)		994,177,262
6)		0
7) MMDA		51,000,000,000
8) MMF		0
9)		42,710,038,623
10)		222,800,365,709
2.		167,398,779,980
1)		101,500,000
		101,500,000
		0
2)	()	1,902,000,000
		1,902,000,000
		0
		0
3)	()	131,539,522,451
		111,363,410,814
		20,176,111,637
		0
4)		2,200,000,000
5)		0
6)		0
	()	0
	()	0
	()	0

1. ()

()	0
7)	0
()	0
()	0
()	0
()	0
8)	91,342,600
9)	264,000,000
10)	0
11)	30,107,900,000
12)	0
13)	1,192,514,929
.	3,034,406,411,739
1.	2,377,433,963,202
1)	23,478,291,477
2)	0
3)	435,377,001,632
4)	947,747,291,344
5)	666,545,722,785
6)	45,076,442,915
	0
	45,076,442,915
7)	259,209,213,049
8)	0
	0
	0
	0
9)	0
2. 가	528,228,210,000
1)	137,623,765,978
2)	0

1. ()

3) .	0
4)	182,252,290,278
5)	169,724,237,183
6)	36,227,158,561
	3,175,870,735
	33,051,287,826
7)	0
8)	2,400,758,000
	2,400,758,000
	0
	0
9)	0
3.	0
1) .	0
2)	0
3)	0
4)	0
4.	43,297,976,804
1)	43,297,976,804
	5,580,000,000
	37,717,976,804
	0
2)	0
	0
	0
	0
5.	85,446,261,733
1) 가	1,601,686,330
2)	82,384,950,000
3)	1,307,404,487
4) 가	-8,171,745

1. ()

5) 가	160,392,661
.	22,948,518,144
1.	17,640,594,638
1)	0
2)	17,640,594,638
3)	0
2.	3,534,278,810
1)	3,534,278,810
2)	0
3)	0
3.	1,932,717,300
1)	
2)	0
3)	1,932,717,300
4.	0
1)	0
2)	0
3)	0
5.	13,312,260
1)	13,312,260
2)	0
3)	0
6.	0
7. 가	- 172,384,864
8. 가	0
.	1,960,201,758,185
(가)(-)	- 593,622,439
(가)(+))	0
()	- 7,065,358,586
1.	180,000,000,000
2.	153,851,833,261

1. ()

1)	77 445 611,627
2)	0
3)	0
4)	76 406 221,634
5)	0
3.	0
4.	17 523 935,180
1)	0
2)	17 523 931,348
3)	3,832
5.	0
6. ()	1,199,145,364,725
7. ()	296,200,000,000
8.	95 278 935,691
9.	4,801,290,665
1)	10 367 ,161
2) ()	0
3)	4,790,923,504
4)	0
5)	0
10.	0
11.	0
12.	91 633 644,570
13.	0
14.	0
15.	- 7,658 981 025
16. (-)	70 574 264,882
1)	0
2)	0
3)	0
4)	3,832

1. ()

5)	0
6) ()	52,582,807,837
7) ()	2,271,255,000
8)	5,810,466,026
9)	4,654,197,944
10)	0
11)	0
12)	5,255,534,243
13)	0
14)	0
15)	
.	114,993,533,613
()	0
1.	38,589,874,853
2.	35,521,183,078
3.	0
4.	297,112,210
5.	64,918,736,141
6.	0
7. ()	45,815,280,899
8. ()	2,107,455,065
9.	502,021,000
10. 가 (-)	60,550,143,689
1)	6,989,774,589
2)	0
3)	226,989,853
4)	53,333,379,247
5)	0
11. 가 ()(-)	11,978,909,540
12. ()(-)	229,076,404
.CMA ()	86,109,799,000

1. ()

1. CMA	0
2. CMA	0
3. CMA	86,500,000,000
4. CMA	0
5. ()(-)	390,201,000
. ()	436,828,855,281
1.	442,608,907,336
2.	0
3. ()(-)	5,780,052,055
.	1,163,344,768,360
(가)(-)	1,191,855,198
(가)(+))	0
1.	93,771,490,158
1) ()	224,518,799
	7,089,799
	0
	217,429,000
	0
2) ()	778,372,888
	746,100,960
	0
	32,271,928
	0
3) ()	59,513,643,766
	41,770,391,529
	17,743,252,237
4)	0
5)	33,254,954,705
2.	22,477,580,270
1)	2,197,592,127
	311,028,486

1. ()

	0
	1,598,210,658
	0
	0
	45,202,397
	0
	166,868,852
	73,009,776
	3,271,958
2)	19,184,749,988
	403,988,385
	10,309,746,919
	0
	8,471,014,684
3)	188,159,157
4)	0
5)	907,078,998
3.	33,276,648,120
1)	11,326,348,209
2)	0
3)	0
4)	21,950,299,911
4.	3,820,358,176
5.	0
1)	0
2) 가가	0
3)	0
6.	0
7.	0
8.	0
1)	0

1. ()

2)	0
9.	22,249,503,755
1)	700,000,000
2) 가	121,974,838
3)	21,425,528,917
4)	2,000,000
5)	0
10.	13,696,165,972
()	0
1)	7,411,845,147
2)	9,119,750,859
3) 가 (-)	2,835,430,034
11.	3,587,595,845
1)	1,984,077,816
2)	1,603,518,029
3)	0
12.	29,428,516,918
()	0
1)	0
2)	0
3)	8,096,753,097
4)	0
5)	21,331,763,821
13.가	1,101,741,010
14.	0
15.	0
16.	942,008,549,931
17. (-)	2,073,381,795
1)	870,824,832
2)	1,202,556,963
	1,202,556,963

1. ()

	0
	0
3)	0
4)	0
	6,654,980,771,867
.	270,880,535,315
1.	132,172,854,072
1)	116,175,635,438
2)	11,530,559,445
3)	0
4)	1,430,688,633
5)	0
6)	1,901,375,857
7)	1,134,594,699
2.	378,342,600
1)	287,000,000
2)	91,342,600
3)	0
4)	0
3. ()	59,508,350,058
4. CMA ()	78,820,988,585
5.	0
.	5,190,360,901,483
(가)(-)	- 12,087,233,660
(가)(+)	0
1.	199,100,000,000
2.	375,080,170,000
1)	170,000
2)	0
3)	0
4)	0

1. ()

5)	375,080,000,000
3.	1,761,971,010,000
4.	388,804,802,575
1)	18,062,512,575
2)	300,497,140,000
3)	70,245,150,000
4)	0
5)	0
5.	308,135,198,933
1) 가	6,314,189,983
2)	82,384,950,000
3)	219,690,368,433
4) 가	-671,064,024
5) 가	416,754,541
6.	0
()	0
7.	49,907,856,048
()	-92,143,952
8.	0
9. ()	2,107,361,863,927
10.	0
.	24,193,048,513
1.	13,388,851,015
1)	0
2)	13,388,851,015
3)	0
2.	6,184,440,000
1)	6,184,440,000
2)	0
3)	0
3.	4,459,166,152

1. ()

1)	0
2)	0
3)	4,459,166,152
4.	0
1)	0
2)	0
3)	0
5.	4,249,683
1)	4,249,683
2)	0
3)	0
6.	0
7. 가	- 89,312,503
8. 가	245,654,166
.	1,169,546,286,556
(가)(-)	0
(가)(+)	0
1.	0
1)	0
2) (+, -)	0
3) 가 (-)	0
4) (-)	0
(-)	0
(-)	0
2.	3,488,708,713
1)	372,889,127
2)	0
3)	0
4)	0
5)	3,115,819,586
3.	1,630,093,417

1. ()

4.	0
5.	97,667,952,587
6.	65,247,933,476
7.	2,572,600,000
8.	797,168,738
9.	30,004,559,773
10.가	755,871
11.	0
12.	0
13.	0
1)	0
2)	0
14.	24,049,880,501
15.	2,090,922,682
16.	0
17.	0
18.	941,995,710,798
.	0
1.	0
2.	0
	676,918,735,573
.	308,867,377,000
1.	308,867,377,000
2.	0
1)	0
2)	0
3)	0
.	119,900,769,555
1.	114,124,875,199
2.	0
3.	3,456,342,052

1. ()

4.	2,319,552,304
.	1,105,434,184
1.	0
2.	0
3.	0
4.	1,105,434,184
5.	0
6.	0
.	83,008,715,121
1. 가 가	83,008,715,121
1)	79,769,127,746
2)	0
3) .	-258,850,070
4)	0
5)	606,653,106
6)	2,382,226,339
7)	509,558,000
	509,558,000
	0
	0
8)	0
2.	0
3.	0
4. 가	0
5.	0
6. 가	0
7.	0
.	164,036,439,713
1.	13,997,396,695
2.	5,607,225,801
3.	0

1. ()

4.	53,136,565
5.	0
6.	10,665,395,169
6.	133,713,285,483
()	53,659,655,439

2.

.	1,884,547,267,254
1.	107,705,864,945
1)	64,175,003,678
가	42,280,754,649
	17,654,785,087
	4,239,463,942
	0
	0
	0
	0
	0
2)	4,924,722,650
	4,924,722,650
	0
3)	50,000,000
4)	4,829,941,529
	0
	4,829,941,529
5)	295,311,839
	53,255,590
	242,056,249
	0
6)	0
	0
	0
	0
7)	0
8)	25,987,693,281
가	0
	0

2. ()

		25,987,693,281
		0
9)		889,320,315
가		0
		889,320,315
		0
10)		1,111,795,243
		348,803,927
		0
		0
		0
		0
		0
		762,991,316
11)		136,676,985
12)		72,098,549
13)		0
14)		5,233,300,876
2. 가		977,835,518,287
1)		79,734,410,196
		22,955,650,916
		0
		45,056,067,955
		4,131,759,441
		7,433,471,233
		157,460,651
		0
2) 가		12,163,020,815
가		4,330,649,045
가		0

2. ()

가	5,653,085,447
가	1,269,448,817
가	909,837,506
	0
3) 가	8,099,517,064
	0
	2,465,711,632
	5,633,805,432
	0
가	0
	0
4)	0
	0
	0
	0
5)	0
	0
	0
6) 가	2,394,227,531
가	620,242,983
가	1,773,984,548
	0
7)	0
가	0
	0
	0
8) 가	13,853,779,589
가	4,920,741
	13,815,873,348
	32,985,500

2. ()

9)	192,850,392,996
가	42,937
	192,850,350,059
	0
10) 가	109,568,741,166
가	1,132,363,553
	107,462,617,683
	973,759,930
11)	559,171,428,930
가	3,728,094,296
	555,443,326,334
	8,300
3.	418,159,720,403
1)	403,759,803,796
	4,277,456,147
	6,274,787,541
	3,293,098,106
	0
	0
	389,914,462,002
2) 가	14,399,916,607
가	9,193,758,620
가	5,152,412,387
가	53,745,600
가	0
가	0
가	0
4.	289,650,506,604
1)	12,807,448,115
	6,961,320,902

2. ()

	0
	0
	5,846,127,213
	0
2)	4,307,966,574
3)	70,129,965,071
4)	18,329,941,695
5)	130,338,546,046
6)	9,163,047
7)	21,410,496,673
8)	178,573,519
9)	936,877,553
10)	2,850,381,721
11)	2,041,875,077
12)	1,818,808,231
13)	23,502,636
14)	0
15)	7,962,051,071
16)	0
17)	0
18)	0
19)	16,504,909,575
5.	10,983,277,624
1)	8,430,357,186
2) 가	0
3)	2,552,920,438
4)	0
6.	22,276,470,630
1)	21,590,509,096
2)	685,961,534

2. ()

7.	57,935,908,761
1)	2,914,414,131
2)	5,809,220,284
3) 가	4,742,894,617
4) CMA ()	4,371,594,672
CMA	4,371,594,672
	0
5) CMA ()	223,711,295
6) ()	39,387,624,074
	27,448,853,716
	11,638,456,464
	300,313,894
	0
7)	0
8) 가	0
9)	0
	0
	0
	0
	0
10)	486,449,688
11) 가	0
12) 가	0
13) 가	0
14) 가	0
15)	0
8.	0
1)	0
	0
	0

2. ()

2) 가	0
가	0
	0
3)	0
	0
	0
	0
4) 가	0
가	0
가	0
	0
5)	0
.	1,814,360,098,380
1.	14,560,892,997
1)	9,318,643,656
	9,247,379,061
	71,264,595
2)	0
3)	0
4)	0
5)	0
6)	7,000
7)	5,242,242,341
2. 가	1,002,184,913,350
1)	106,865,914,348
	29,503,113,095
	0
	52,242,636,074
	6,233,026,772
	528,029,957

2. ()

	1,798,173,765
	16,560,934,685
2) 가	2,392,881,835
가	422,650,441
가	0
가	1,536,814,064
가	432,792,873
가	624,457
	0
3) 가	120,422,274
	0
	0
	0
	120,422,274
가	0
	0
4)	0
	0
	0
	0
5)	17,290,961,736
	0
	0
	17,290,961,736
6) 가	1,073,096,995
가	530,481,500
가	542,615,495
	0
7)	0
가	0

2. ()

	0
	0
8) 가	108,439,900,558
가	24,135,885
	107,462,617,683
	953,146,990
9)	553,822,756,562
가	11,210,054
	553,811,546,508
	0
10) 가	15,838,597,688
가	7,047,723
	13,815,873,348
	2,015,676,617
11)	196,340,381,354
가	807,074,680
	193,993,022,865
	1,540,283,809
3.	384,149,199,893
1)	366,616,381,124
	5,471,986,959
	8,664,467,836
	1,879,229,764
	0
	0
	350,600,696,565
2) 가	17,532,818,769
가	5,245,131,182
가	8,000,912,085
가	4,286,775,502

2. ()

가	0
가	0
가	0
3)	0
4.	194,310,395,702
1)	383,375,099
	354,712,568
	28,662,531
	0
2)	814,623
3)	1,136,297,379
4)	77,827,488,831
5)	2,069,662
6)	11,620,484,615
7)	2,299,690,453
8)	68,263,518,289
9)	0
10)	0
11) CMA ()	3,610,458,711
12)	0
13)	29,166,198,040
5. 가	728,905
1)	728,905
2) 가	0
3)	0
4)	0
6.	20,839,603,895
1)	17,831,931,037
2)	3,007,672,858
7.	183,578,279,834

2. ()

1)	98,635,159,424
	6,771,839,406
	91,863,320,018
2)	4,493,727,224
	996,424,478
()	996,424,478
()	0
	3,497,302,746
()	0
()	3,497,302,746
3)	16,140,637
4)	16,181,622,421
5)	15,963,050,509
6)	5,471,014,451
7)	8,224,987,859
8)	4,578,731,416
9)	2,133,757,208
10) 가	6,179,050,052
11)	0
12)	212,450,837
13)	2,582,461,999
14)	7,911,327,469
15)	0
16)	0
17)	0
18)	0
19)	158,265,545
20)	532,790,537
21)	437,805,985
22)	335,833,897

2. ()

23)	413,520,427
24)	648,212,180
25)	4,768,311,875
26)	278,646,865
27)	3,421,411,017
8.	14,736,083,804
1) 가	0
2) ()	11,054,928,271
가	9,600,633,259
	1,245,554,046
	32,039,817
	0
	176,701,149
3)	0
4) 가	0
5)	3,115,819,586
	0
	3,115,819,586
	0
6)	465,584,775
7) 가	0
8) 가	0
9) 가	0
10) 가	0
11)	99,751,172
9.	0
1)	0
	0
	0

2. ()

2)	가	0
	가	0
		0
3)		0
		0
		0
		0
4)	가	0
	가	0
	가	0
		0
5)		0
.	()	70,187,168,874
.		1,270,096,489
1.		38,319,000
2.		0
3.		368,875,456
4.		0
5.		192,863,881
6.		0
7.		0
8.		0
9.		0
10.		0
11.		0
12.		0
13.		0
14.		0
15.		0
16.	가	0

2. ()

17.	670,038,152
.	1,796,395,901
1.	2,473,532
2.	0
3.	0
4.	35,549,048
5.	0
6.	0
7.	8,800,000
8.	0
9.	0
10.	0
11.	0
12.	0
13.	0
14.	0
15.	0
16.	0
17. 가	0
18.	1,749,573,321
.	69,660,869,462
.	16,001,214,023
. ()	53,659,655,439
.	0
. ()	53,659,655,439
()	5,607,225,801
()	48,052,429,638
.	5,697,056,579
1. 가 가	5,697,056,579
2.	0

2. ()

3.	0
4. 가	0
5. ()	0
6. 가	0
7.	0
8.	0
. ()	59,356,712,018

3.

.	691,072,889,127
1.	13,372,889,127
	372,889,127
	0
	0
	0
()	13,000,000,000
()	0
2.	140,500,000,000
3.	0
4.	537,200,000,000
.	9,576,435,656,071
1.	9,576,435,656,071
	5,874,051,395,673
	10,593,039,354
	3,691,791,221,044
	0
2.	0
3.	0
.	24,699,034,898,707
1.	5,432,939,342,688
1)	229,172,850,000
	229,172,850,000
	0
가 .	0
.	0
2)	8,235,744,000
	8,235,744,000
	0
가 .	0

3. ()

	0
3)	5,192,044,575,000
	42,036,750,000
	5,150,007,825,000
가.	39,053,970,000
	5,110,953,855,000
4)	0
	0
	0
가.	0
	0
5)	3,486,173,688
	3,486,173,688
	0
가.	0
	0
2.	19,266,095,556,019
1)	13,849,000,000,000
	0
	13,849,000,000,000
	0
가.	0
	0
2)	419,048,760,000
	419,048,760,000
	0
	0
가.	0
	0
3)	4,998,046,796,019

3. ()

	0
	0
	4,998,046,796,019
가 .	4,975,321,319,215
.	22,725,476,804
4)	0
(CDS)	0
(TRS)	0
(CLN)	0
(CO)	0
가 .	0
.	0
5)	0
	0
	0
	0
가 .	0
.	0
.	366,158,620,915
1.	0
1)	0
2)	0
3)	0
2.	366,158,620,915
1)	8,349,127,915
2)	357,809,493,000
3)	
3.	0
.	59,349,109,576
.	0

3. ()

1.	0
2.	0
.	0
()	2,662,000,000,000
1.	0
2.	2,662,000,000,000

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(5)								(374
(6)				(382	,	385	,	409
(7)				(417)		,	415
(8)		(462)	2)			542	11)
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.	,	,				(527	2	3)
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.		(28	3)				
3.									
가.	가								
.	1,000	25							
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4.									
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.	(397)						
5.									
가.	[1,000	25]					
.		,						가	

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	- CSFB Fixed Income Co-head, Director - - Capital Market		
	- - - 1		
	- - - 現)		
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	- USC - Deloitte MBA - -		

	- - - 現)		
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	- USC - Deloitte - MBA		

			가	
		& lt; & gt;		
	20110511	1. FY2010	가	
	20110511	2. 가 가	가	
	20110511	3.	가	
	20110511	4. 가	가	
		& lt; & gt;		
	20110511	1. ()		
		& lt; & gt;		
	20110511	1.		
	20110511	2.		
		& lt; & gt;		
	20110523	1.	가	
	20110523	2.	가	
		& lt; & gt;		
	20110523	1. 2011 1		
	20110523	2.		
	20110523	3.		
		& lt; & gt;		
	20110902	1. 2011 ()	가	
		& lt; & gt;		
	20110902	1. FY2011 1		
		& lt; & gt;		
	20111111	1. ()	가	
		& lt; & gt;		
	20111111	1. FY2011 2		
		& lt; & gt;		
	20120217	1. 2011 3		
	20120217	2.		
		& lt; & gt;		
	20110525	1. ,	가	

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			가	
		& lt; & gt;		
	20110610	1. ()	가	
		& lt; & gt;		
	20110610	2.	가	
	20110610	3. FY2011.	가	
		& lt; & gt;		
	20110610	4. FY2010.4/4		
		& lt; & gt;		
	20110902	1. FY2011.1/4		
	20110902	1. FY2011.1/4		
		& lt; & gt;		
	20111012	1. RP	가	
		& lt; & gt;		
	20111111	1. FY2011.2/4		
		& lt; & gt;		
	20120217	1. FY2011.3/4		
	20110708	-	가	
	20110708	-	가	
	20110708	-	가	
	20110902	- ,	가	
	20110902	-	가	
	20110926	-	가	
	20111019	-	가	
	20111019	-	가	
	20111219	-	가	
	20111219	-	가	
	20111230	-	가	
	20120105	-	가	
	20120131	-	가	
	20120131	-	가	

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	20120330	-	가	

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		1977.07.19 1997.09.01	

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			가	
		& lt; & gt;		
1	20110511	1. FY2010	가	
1	20110511	2. 가 가	가	
1	20110511	3.	가	
1	20110511	4. 가	가	
		& lt; & gt;		
1	20110511	1. ()	가	
		& lt; & gt;		
1	20110511	1.		
1	20110511	2.		
		& lt; & gt;		
2	20110523	1.	가	
2	20110523	2.	가	
		& lt; & gt;		
2	20110523	1. 2011 1		
2	20110523	2.		
2	20110523	3.		
		& lt; & gt;		
3	20110902	1. 2011 ()	가	
		& lt; & gt;		
3	20110902	1. FY2011 1		
		& lt; & gt;		
4	20111111	1. ()	가	
		& lt; & gt;		
4	20111111	1. FY2011 2		
		& lt; & gt;		
5	20120217	1. 2011 3		
5	20120217	2.		

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		40.0		
		50.0		
	1	0.0	9%)	(49
		100.0		
		100.0		
		100.0		
		100.0		
		100.0		
		0.0	%)	(51
		0.0	0%)	(10
		0.0	%)	(90
	-KTB	0.0	%)	(30

(2)

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						가	가)	가		가		가				
{					2730000	100	3 029,404,274		14 031,034	2730000	100	3 043,435,308	3 031,107,657	81 853,743	(3.19)	
()	가 ()		C) 1 (SPA		803299	5	1 542,334,080		4 016,495	803299	5	1 546,350,575	34 892,933 655	220 464,396		
{			-KTB				5 580,000	5 580,000,000		5 580,000	30	5 580,000,000				
					3533299	0	4 571,738,354	5 580,000	5 580,000,000	18 047,529	9113299	0	10 169,785 883	37 924,041 312	302 318,139	

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						가		가		가	()		
			1	803,299	5	1,546,350.575	0	0	803,299	0	1,546,350.575	20100223	-
			SPAC	300,000	1.1	1,038,000.000	0	0	300,000	0	1,038,000.000	20100303	-
				768,458	1.6	5,494,474.700	0	0	768,458	0	5,494,474.700	20100401	-
			KT	375,000	0.1	11,793,750.000	0	0	375,000	0	11,793,750.000	20120331	(3.3)
				580,852	2.9	97,351,956.904	0	0	580,852	0	97,351,956.904	20000101	2451195440 -
				656,851	1	8,875,928.153	0	0	656,851	0	8,875,928.153	20000101	328425500 -
				26,855	0.3	2,321,641.605	0	0	26,855	0	2,321,641.605	20000101	48291750 (4.27)
				34,000	1.7	1,540,540.000	0	0	34,000	0	1,540,540.000	20000101	20400000 -
				60,002	0.6	1,000	0	0	60,002	0	1,000	20030711	-
				79	0	237,000,000	0	0	79	0	237,000,000	20100410	-
				140,000,000	0	140,000,000	0	0	140,000,000	0	140,000,000	20100410	-
				1,291	0	191,949,753	0	0	1,291	0	191,949,753	20100410	-
			4	900,000	14.7	4,500,000.000	0	0	900,000	0	4,500,000.000	20110827	179506849 -
			PFV	550,000	5	2,750,000.000	0	0	550,000	0	2,750,000.000	20110817	(8.1)
			Meritz-CGI 2	50,000	8.3	50,000.000	0	0	50,000	0	50,000.000	20110822	(8.2)
			MDAS Equity Long Short Fund	20,000	20	2,400,758.000	0	0	20,000	0	2,400,758.000	20080213	-
				2,730,000	100	3,043,435.308	0	0	2,730,000	0	3,043,435.308	20090206	(3)
			KTB	5,580,000	30	5,580,000.000	0	0	5,580,000	0	5,580,000.000	20110407	PE (4.7)

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											()		
				가		가		가					
			153,436,687	0	148,855,785,998	0	0	153,436,687	0	148,855,785,998			3027819539

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					가				
			94,084,051	30.5	29,596,470	0	123,680,521	40	
			767,430	0.3	0	0	767,430	0.3	
			5,013,910	1.6	0	0	5,013,910	1.6	
			250,000	0.1	0	0	250,000	0.1	
			250,000	0.1	0	0	250,000	0.1	

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	49	457
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	0	0
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				0	
		470	1	471	
		332		332	
		85		85	
		8		8	
		909	1	0	910

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		19641028		Banke rs Trust Corpora tion (&) CSFB (&) Go ldman Sachs ()	20091019	20100528	20130527	0	0
		19630103	CFO		20110131	20110610	20140609	0	0
		19530120		1	20080530	20100528	20130527	0	0
		19470908		가	20100528	20100528	20130527	0	0
		19471207			20100528	20100528	20130527	0	0
		19491021			20100528	20100528	20130527	0	0
		19580923		De loitte BM	20100528	20100528	20130527	0	0
		19620505	Global T rading	Co lumbus Adviso rs BK	20100114	20110114	20120113	0	0
		19650110		가 Prism Capita lM anaging Partner CEO 가	20100104	20110104	20120103	0	0
		19570719			20100419	20110419	20120418	0	0
		19650311			20070319	20110401	20120331	0	0
		19631008			20100401	20110401	20120331	0	0
		19620728			20100419	20110419	20120418	0	0
		19620403	B	HNS	20100816	20110816	20120815	0	0

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											가	가	
		20021019			150,000	0	0	0	150,000	04.10.19 - 15.05.26	1,000	1,030	
		20021019			100,000	0	0	0	100,000	04.10.19 - 15.05.26	1,000	1,030	
		20021019			50,000	0	0	0	50,000	04.10.19 - 15.05.26	1,000	1,030	
		20021019			14,500	0	0	0	14,500	04.10.19 - 15.05.26	1,000	1,030	
		20021019			14,500	0	0	0	14,500	04.10.19 - 15.05.26	1,000	1,030	
		20021019			14,500	0	0	0	14,500	04.10.19 - 15.05.26	1,000	1,030	
		20021019			13,000	0	0	0	13,000	04.10.19 - 15.05.26	1,000	1,030	
		20030530			300,000	0	0	0	300,000	05.05.30 - 18.05.29	1,000	1,030	
		20051201			2,000,000	0	0	0	2,000,000	07.12.01 - 17.11.30	1,310	1,030	
		20051201			100,000	0	0	0	100,000	07.12.01 - 17.11.30	1,310	1,030	
		20051201			50,000	0	0	0	50,000	07.12.01 - 17.11.30	1,310	1,030	
		20051201			50,000	0	0	0	50,000	07.12.01 - 17.11.30	1,310	1,030	
		20051201			100,000	0	0	0	100,000	07.12.01 - 17.11.30	1,310	1,030	
		20051201			50,000	0	0	0	50,000	07.12.01 - 17.11.30	1,310	1,030	
		20051202			15,000	0	0	0	15,000	07.12.01 - 17.11.30	1,310	1,030	
					3,021,500	0	0	0	3,021,500		0	0	

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-KTB		()	558000000		558000000	PE (2011.04.07)	
			0 558000000		0 558000000		

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				29,900,000,000	29,900,000,000	0	
	()			2,667,968,340	2,667,968,340	0	
			0	32,567,968,340	32,567,968,340	0	

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		0	585,355,324	585,355,324	-585,355,324		
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		(A)	(B)							(B/A)	
	118,252,260 244	109,540,223,10 6	110,924,000 ,000	0	0	0	0	0	0	0	101.3
	21,284,003, 940	20,110,112,621	20,111,000, 000	0	0	0	0	0	0	0	100
	2,421,643,0 97	2,421,643,097	2,421,643,0 97	0	0	0	0	0	0	0	100
	0			0	0	0	0	0	0	0	0
	0			0	0	0	0	0	0	0	0
	1,383,666,0 44	1,383,666,044	1,384,000,0 00	0	0	0	0	0	0	0	100
				0	0	0	0	0	0	0	0
	143,341,573, 325	133,455,644,86 8	134,840,643, 097	0	0	0	0	0	0	0	101

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	5,874,051,395,673	5,874,051,395,673	5,874,051,395,673	0	5,874,051,395,673	
	10,593,039,354	10,593,039,354	10,593,039,354	0	10,593,039,354	
	3,691,791,221,044	3,691,791,221,044	3,691,791,221,044	0	3,691,791,221,044	
	0	0	0	0	0	
	9,576,435,656,071	9,576,435,656,071	9,576,435,656,071	0	9,576,435,656,071	

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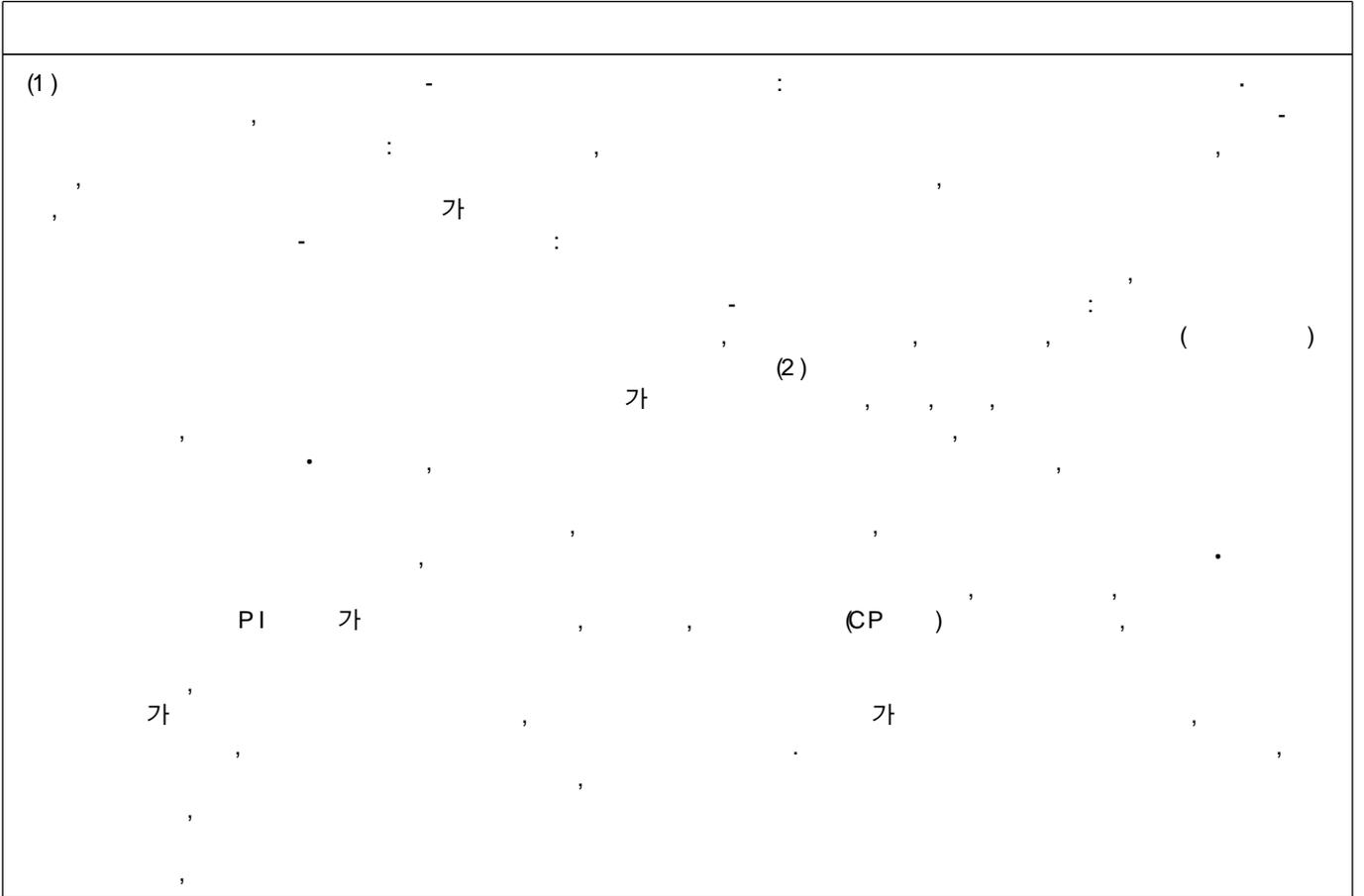
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